

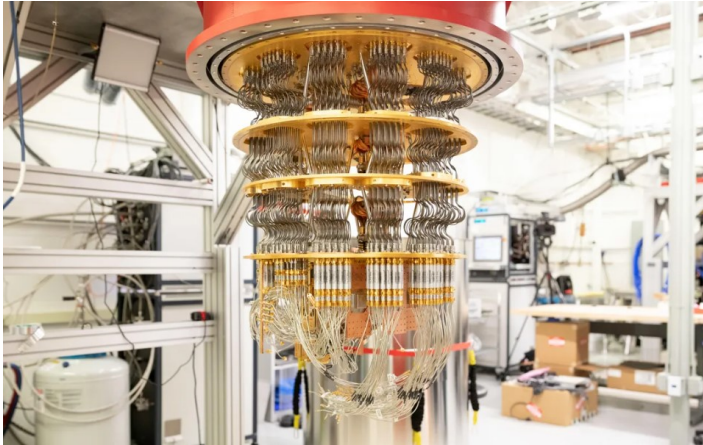
# The McEliece Cryptosystem and Error-Correcting Codes

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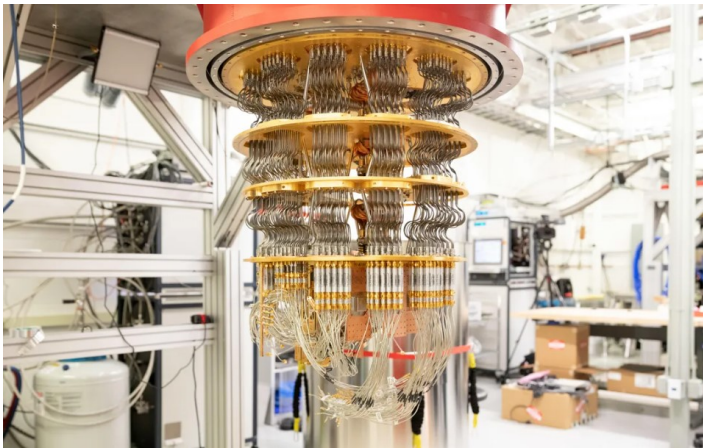


# Background



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- July 2020: only four are left standing. One of these is the McEliece Cryptosystem, based on error-correcting codes.

## Goal:

The goal of this project is to understand the McEliece Cryptosystem, which utilizes Goppa Codes. Goppa Codes are a subclass of Linear Codes, and are related to Cyclic Codes and BCH Codes, which what we will focus on today.

# Background on Error-Correcting Codes

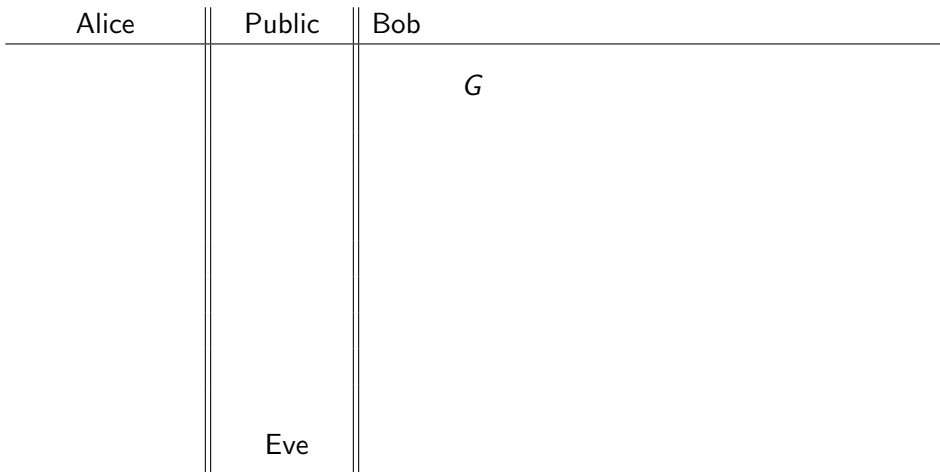




# The McEliece Cryptosystem based on Error-Correcting Codes

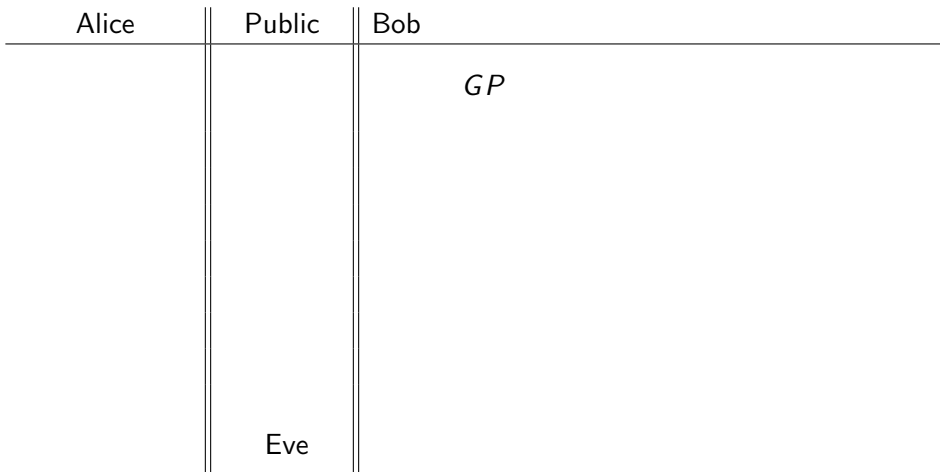
Alice	Public	Bob
	Eve	

# The McEliece Cryptosystem based on Error-Correcting Codes



Bob: Chooses  $k \times n$  generating matrix  $G$ ,

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	Eve	

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Alice	Public	Bob
	$G_1 \leftarrow$	$G_1 = SGP$
$xG_1 + e$		
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$xG_1 + e = y$	$G_1 \leftarrow$	$G_1 = SGP$
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$xG_1 + e = y$	$\rightarrow y \rightarrow$	$1) \ r_1 = yP^{-1} = (xG_1 + e)P^{-1}$ $= x(SGP)P^{-1} + eP^{-1}$ $= (xS)G + eP^{-1}$ $2) \text{ Compute syndrome } r_1H^T$ $3) \text{ Lookup the codeword } c_1 = (xS)G = x_1G$
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$xG_1 + e = y$	$\rightarrow y \rightarrow$	$1) \ r_1 = yP^{-1} = (xG_1 + e)P^{-1}$ $= x(SGP)P^{-1} + eP^{-1}$ $= (xS)G + eP^{-1}$ $2) \text{ Compute syndrome } r_1H^T$ $3) \text{ Lookup the codeword } c_1 = (xS)G = x_1G$ <p>associated with received word <math>r_1</math></p> $4) \text{ Extract message } x_1 = (xS)$ <p>associated with code word <math>c_1</math> (first <math>k</math> bits)</p> $5) \text{ Compute } x_1S^{-1} = (xS)S^{-1}$
	Eve	

Bob: Chooses  $k \times n$  generating matrix  $G$ ,  $k \times k$  invertible matrix  $S$ , and  $n \times n$  permutation matrix  $P$ , calculates public key  $G_1 = SGP$ .

Alice: Chooses  $1 \times k$  message  $x \in \mathbb{Z}_2^k$ , and error vector  $e$  of weight  $t$ , length  $n$

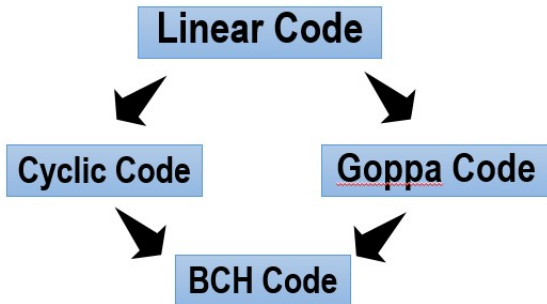
# The McEliece Cryptosystem based on Error-Correcting Codes

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# The McEliece Cryptosystem



## The McEliece Cryptosystem

The McEliece Cryptosystem uses a Goppa code of length 1024 that can correct 50 errors. In this case, Eve has  $\binom{1024}{50} \approx 3 \times 10^{85}$  possible locations of the errors.

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Here is the lookup table for decoding received words.

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Alice encodes message  $x = [1, 1]$  by computing  $xG = [1, 1, 0, 0]$ . She sends to Bob through a noisy channel, and Bob receives  $r = (1, 1, 1, 0)$ .

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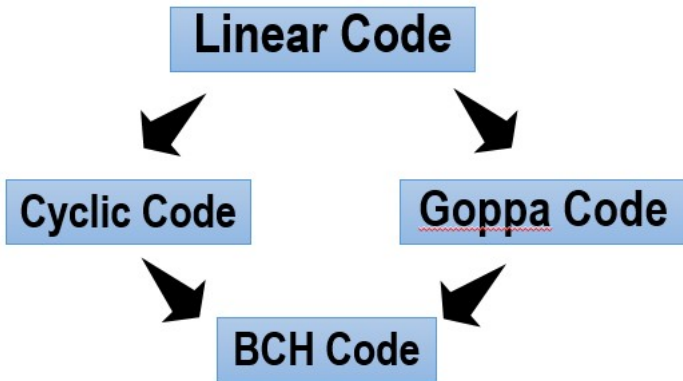
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A code is said to be a **cyclic code** if it contains the property

$$(c_1, c_2, \dots, c_{n-1}, c_n) \in C \iff (c_n, c_1, c_2, \dots, c_{n-1}) \in C$$

Given  $g(X) = g_0 + g_1X + \dots + g_{n-1}X^{n-1} + g_{n-k}X^{n-k}$ , and  $h(X) = h_0 + h_1X + \dots + h_{k-1}X + h_kX^k$  (where  $g(X)h(X) = X^n - 1$ ), we formulate  $k \times n$  generating matrix  $G$

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$$\underbrace{\begin{bmatrix} g_0 & g_1 & \cdots & g_{n-k} & 0 & \cdots & 0 \\ 0 & g_0 & g_1 & \cdots & g_{n-k} & 0 & \vdots \\ \vdots & \ddots & \ddots & \ddots & \ddots & \ddots & \vdots \\ 0 & \cdots & 0 & g_0 & g_1 & \cdots & g_{n-k} \end{bmatrix}}_G$$



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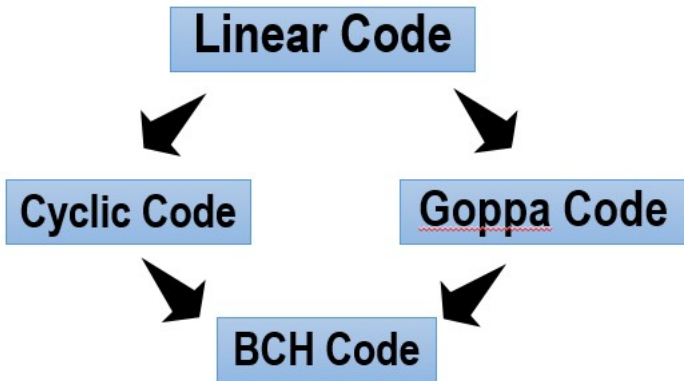
**Example:** Here is an  $[n, k, d] = [7, 3, 4]$  cyclic code  $C$ .

$$X^7 - 1 = g(X)h(X) = \underbrace{(X^4 + X^2 + X + 1)}_{g(X)} \underbrace{(X^3 + X + 1)}_{h(X)}.$$

Then  $3 \times 7$  generating matrix  $G$  and  $4 \times 7$  parity check matrix  $H$  are:

$$G = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 1 \end{bmatrix} \quad H = \begin{bmatrix} 1 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix}$$

# BCH (Bose-Chaudhuri-Hocquenhem) Code



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## Theorem

*Let  $C$  be an  $[n, k, d]$  cyclic code over  $\mathbb{F}_{q=p^m}$ , where  $p \nmid n$ . Let  $\alpha$  be a primitive  $n$ -th root of unity, and let  $g(X)$  be a generating polynomial for  $C$ . Suppose there exist integers  $\ell$  and  $\delta$  such that*

$$g(\alpha^\ell) = g(\alpha^{\ell+1}) = \cdots = g(\alpha^{\ell+\delta}) = 0$$

*Then the minimum distance  $d \geq \delta + 2$ .*

The parity check matrix  $H$  is

$$H = \begin{bmatrix} 1 & \alpha^{k+1} & \alpha^{2(k+1)} & \cdots & \alpha^{(n-1)(k+1)} \\ 1 & \alpha^{k+2} & \alpha^{2(k+2)} & \cdots & \alpha^{(n-1)(k+2)} \end{bmatrix}$$

# BCH (Bose-Chaudhuri-Hocquenhem) Code



How to **DECODE** a received word  $r$  for one error?

- 1 Calculate  $rH^T = (s_1, s_2)$ .
- 2 If  $s_1 = 0$ , then no error ( $r$  is a codeword).
- 3 If  $s_1 \neq 0$ , compute  $\frac{s_2}{s_1} = \alpha^{j-1}$ , where  $j$  is position of error.
- 4  $r - e = \text{codeword}$

# BCH (Bose-Chaudhuri-Hocquenhem) Code

**Example:** Consider a  $[7, 1, 7]$  BCH code with generating polynomial  $g(X) = X^6 + X^5 + X^4 + X^3 + X^2 + X + 1$ . There are two codewords:  $(0, 0, 0, 0, 0, 0, 0)$  and  $(1, 1, 1, 1, 1, 1, 1)$ . Suppose Bob receives  $r = (1, 1, 1, 0, 1, 1, 1)$ . Detect and correct the error!

**Solution:** Since  $rH^T = (s_1, s_2)$ , we see

$$rH^T = (1, 1, 1, 0, 1, 1, 1) \begin{bmatrix} 1 & 1 \\ \alpha & \alpha^2 \\ \alpha^2 & \alpha^4 \\ \vdots & \vdots \\ \alpha^6 & \alpha^{12} \end{bmatrix} = (s_1, s_2) = (\alpha^3, \alpha^6)$$

Since  $s_1 \neq 0$ , we calculate  $\frac{s_2}{s_1} = \frac{\alpha^6}{\alpha^3} = \alpha^3$ . Therefore,  $j - 1 = 3$ , so the error position is at  $j = 4$ . Finally, we see

$$r - e = \underbrace{(1, 1, 1, 0, 1, 1, 1)}_{\text{received word}} - \underbrace{(0, 0, 0, 1, 0, 0, 0)}_{\text{error vector}} = (\underbrace{1}_{\text{message}}, \underbrace{1, 1, 1, 1, 1, 1}_{\text{codeword}})$$

- *Introduction to Cryptography with Coding Theory*, by W. Trappe, L. Washington, Pearson, 2nd edition, 2006
- *Fundamentals of Error-Correcting Codes*, by V. Pless, W.C. Huffman, Cambridge University Press, 2003

